

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Buchwald, S.L. et al.

Application No.: 10/613,742

Filed: July 3, 2003

For: *Abuse-Resistant Prodrugs of  
Oxycodone and Other Pharmaceuticals*

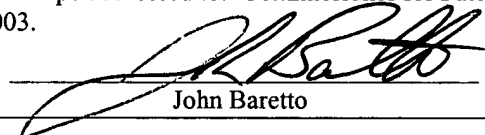
Examiner: To Be Assigned

Art Unit: 1615

Attorney Document No.: CPX-005.01

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on November 7, 2003.



John Baretto

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 (b)(3)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the requirements of 37 C.F.R. 1.56 and 1.97(b)(3), submitted herewith on Forms PTO-1449 is a list of patents and publications known to the Applicant or the Applicant's Attorney. Applicant respectfully requests that the Examiner consider the listed publications and indicate they were considered by making appropriate notations on the attached Forms PTO-1449.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute "prior art." If the Examiner applies the listed documents as prior art against any claim in the

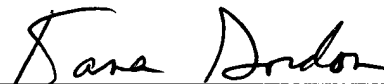
application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

Under 37 C.F.R. § 1.97 (b)(3), this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits; therefore, no fee is believed to be due in connection with this submission. However, the Commissioner is authorized to charge any deficiencies or credit any overpayment to/from our **Deposit Account, No. 06-1448, Reference CPX-005.01**

Date: November 7, 2003

**Customer No.: 25181**  
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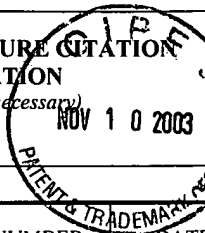
Respectfully Submitted,




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Dana M. Gordon  
 Reg. No. 44,719  
 Attorney for Applicant

Form PTO-449 <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) CPX-005.01 Applicant Buchwald, S.L. et al. Filing Date July 3, 2003	Application Number 10/613,742 Group Art Unit 1615
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	US 6,469,170 B1	10/22/02	Chiu et al.	546	45	
AB	US 6,008,355	12/28/99	Huang et al.	546	45	
AC	US 5,656,295	08/12/97	Oshlack et al.	424	468	
AD	US 5,549,912	08/27/96	Oshlack et al.	424	468	
AE	US 5,508,042	04/16/96	Oshlack et al.	424	468	
AF	US 5,468,744	11/21/95	Raffa et al.	514	282	
AG	US 5,266,331	11/30/93	Oshlack et al.	424	468	
AH	US 4,569,937	02/11/86	Baker et al.	514	282	
AI	US 4,241,065	12/23/80	Boswell, Jr. et al.	424	260	
AJ	US 4,236,008	11/25/80	Henderson	546	46	
AK	US 6,528,530 B2	03/04/03	Zeitlin et al.	514	317	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS***(Including Author, Title, Date, Pertinent Pages Etc.)*

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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AL	US 6,355,656 B1	03/12/02	Zeitlin et al.	514	317	
AM	US 4,457,933	07/03/84	Gordon et al.	424	260	
AN	US 6,552,031 B1	04/22/03	Burch et al.	514	282	
AO	US 6,403,798 B2	06/11/02	Chiu et al.	546	45	
AP	US 6,262,266 B1	07/17/01	Chiu et al.	546	44	
AQ	US 6,177,567 B1	01/23/01	Chiu et al.	546	47	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

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